

Risk	Month last reviewed	Risk Owner	Net Risk Level	Review commentary
S.11 - Failure to successfully deliver the Medium Term Financial Strategy	Jul 12/13	Sanjiv Kohli	9: Likelihood (high) - Impact (high)	The MTFs was approved by full Council in February 2012. The key message is that a standstill position in Formula Grant for 2013/14 and 2014/15 or at worst a 5% reduction for each year will be manageable with the support of the Council's Balances and Reserves and allocation of NHB. Any reduction between 5% and 10% will mean that additional savings of £500K to £750K will be needed to balance the budget for 2013/14 and 2014/15. Work has already commenced in identifying these areas of savings for 3 categories. The position will become clearer in October/November of 2012 with the announcement of the Comprehensive Spending Review. It is also critical that the New Housing Trejectory is met in order to secure the forecast NHB. Finance will monitor this with the Planning Service.
S.19 - Failure to improve sickness absence	Jul 12/13	Steve Atkinson - Chief Executive	9: Likelihood (high) - Impact (high)	At start of 2012/13 levels showing signs of increase and first quarter significantly higher than previous year. Chief Executive to review and agree renewed actions via SLB/COB
S.33 - MIRA RGF Fund	Jul 12/13	Bill Cullen	9: Likelihood (high) - Impact (high)	Due diligence report has now been completed and submitted to BiS and legal advice sourced on State Aid compliance. Grant Offer letter signed off with BiS awaiting final Contract Offer letter in early August. BiS have agreed to facilitate early draw down of budget pending sign off of contract. Council at it's June meeting delegated the Chief Executive in liaison with Leader to sign the contract on behalf of the Council.
S.04 - Damage to Reputation/adverse publicity	Jul 12/13	Steve Atkinson - Chief Executive	8: Likelihood (medium) - Impact (high)	Net positive media coverage maintained. Short term issue on Barwell Parish Poll, now being pursued via letters to press. Being addressed via political party means, but will require officer input to ensure factual basis for official statements from Council.
S.13 - Failure to Manage Partnership working :	Jul 12/13	Bill Cullen	8: Likelihood (medium) - Impact (high)	Progress continues on delivering actions via the LSP Delivery Partnerships monitored by the appropriate thematic boards. The first quarterly Endeavour Strategic steering Goup recently took place which noted the good prgress on initiatives,signed off the performance framework and agreed actions on the early challenges.

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S.29 - Loss of contract for Supporting People funded services	Jul 12/13	Sharon Stacey	8: Likelihood (medium) - Impact (high)	Representation made to county regarding procurement process which has put a hold on retendering. Considering alternative method of service delivery as an option to tendering for future contracts. Carrying out scheme viability studies to understand future need/demands. Continue to work with NWLDC to share resource and capacity.
S.34 - Safeguarding Children and Young People	Jul 12/13	Simon D. Jones	8: Likelihood (medium) - Impact (high)	Safeguarding action plan developed and is being implemented by DSO's (Designated Safeguarding Officers) Leading on multi agency training event on Parenting Sharing of best practices across Leicestershire with other DSO's
S.01 - Failure to focus on priorities and initiatives	Jul 12/13	Steve Atkinson - Chief Executive	6: Likelihood (low) - Impact (high)	Focus has been maintained on delivery of Bus Station Site and Hinckley Hub, both of which remain on target. Consultation on Argents Mead remains on schedule and Planning permission had been granted for the relocation of the depot by March 2013. MTFS still sound and contingency plans agreed, in case of worse than predicted settlement announcement in December. Increase in Planning fees by 15%, for which HBBC lobbied strongly, will give more headroom in financial position. Concentration now on Council Tax benefit scheme development for April 2013
S.06 - Failure to implement the Town Centre Plan	Jul 12/13	Bill Cullen	6: Likelihood (low) - Impact (high)	Tin Hat Regeneration Company are developing their delivery program. Given the current economic difficulties they are proposing to phase the delivery of the scheme. A revised program will be submitted in August which will target start on site April 2013 and completion of 1st phase(Cinema ,supermarket ,restaurants and bus station) by April 2015 with a commitment to complete phase 2 within a follow on target period. Following advice from National agents Tin Hat confirm the scheme as granted planning consent iremains vialble. iRefurbishment work isalmost cpmplete on the top floor of Atkins which will secure the almost full occiupancy of the building.
S.12 - Insufficient Business Continuity Management (incl	Jul 12/13	Steve Atkinson - Chief Executive	6: Likelihood (low) - Impact (high)	The review process is ongoing and no major issues have been identified.

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S.16 - Failure to adhere to Health and Safety Legislation/ Regulations	Jul 12/13	Rob Parkinson	6: Likelihood (low) - Impact (high)	The H&S Officer continues to support the depot with a minimum average of 2 days per week. The revised Corporate Health and Safety for the Council has been signed off by the Chief Executive and is to be rolled out over the next few months to all staff. Housing repairs now in house and policies, risk assessments and safe systems of work developed by a consultant. H&S audits of other work areas are continuing. Legionella risk being monitored.
S.17 - A reduction in Benefit Subsidy as a result of error and/or poor performance impacting on Medium Term Financial Strategy	Jul 12/13	Storme Coop	6: Likelihood (low) - Impact (high)	PWC have completed the sample checks of the 2011/2012 claim, we are awaiting further information of the extent of the additional testing required.
S.27 - Failure to deliver / ensure sustainability to My Place Project	Jul 12/13	Bill Cullen	6: Likelihood (low) - Impact (high)	snagging works are now complete on the building and a new Centre Manager has been appointed.
S.30 - Review by the Equalities Commission for Human Rights of disability issues	Jul 12/13	Steve Atkinson - Chief Executive	6: Likelihood (low) - Impact (high)	Completed work on Section 23 Agreement and submitted Action Plan, which incorporates existing activities and has been acknowledged by EHRC Action Plan targets being delivered on time.
S.14 - Dealing with numerous Public Enquiries	Jul 12/13	Bill Cullen	5: Likelihood (medium) - Impact (medium)	2011/12 saw a significant improvement in the success of Planning Appeals. A slight reduction in targets for determining Planning Applications will continue to allow for effective negotiation on proposals potentially reducing the number of appeals going forward.

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S.15 - Failure to successfully adopt and deliver the LDF leads to:	Jul 12/13	Bill Cullen	5: Likelihood (medium) - Impact (medium)	A revised programme for the delivery of the suite of Local Plan documents and a report to agree the re-badging of the Core Strategy to the Local Plan was considered by Executive on 18th July. LLITM modelling has commenced for Barwell SUE and is on track for completion September 2012. A target date of November is set for determination of the application. Further work is being commissioned on the Gipsy and Travellers needs survey to assess capacity of existing sites and is due to be completed by end of September.
S.22 - Failure of County Council Support/ engagement for the Local Strategic Partnership	Jul 12/13	Bill Cullen	5: Likelihood (medium) - Impact (medium)	At the Annual Review meeting of the LSP in March 2012, membership of the LSP was confirmed with the Deputy Leader of HBBC as Chair and LCC being a key Partner.
S.25 - Failure to provide a fit for purpose Leisure Centre	Jul 12/13	Bill Cullen	5: Likelihood (medium) - Impact (medium)	presentations have been considered by Executive on options. It is proposed to report to Council on preferred option in November 2012
S.20 - Non-compliance with Financial Regulations - Caused by: misunderstanding or non-application by officers	Jul 12/13	Sanjiv Kohli	3: Likelihood (low) - Impact (medium)	This risk was reduced to Net Amber in February 08 following a favorable Internal Audit report in respect of compliance with Financial Regulations. At the year end review Mar 09 it was considered the net likelihood of this risk occurring should be reduced to low facilitating 6-monthly review. This position remains unchanged as at Sept 09. The position at the end of March 2010 remains unchanged. No significant issues arose during the year. The position at 31 March 2011 remains unchanged. Risk reviewed in April 2012 - added progress to additional mitigation plan
S.21 - Use of Rolling Revenue Budget Reports for movement of resources	Jul 12/13	Steve Atkinson - Chief Executive	Opportunity: Likelihood (low) - Impact (high)	Further savings opportunities agreed with SLB/COB, should they be needed in light of settlement announcement in December for 2013/14. Supported by Trade Unions and position to be reviewed in October 2012.